

# Canonical genus and the Whitehead doubles of pretzel knots

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# Definitions

## Definition

$K \subset S^3$  is a **knot** if it is a smooth 1–manifold embedded in  $S^3$ .

## Definition

A **Seifert surface** of a knot  $K$  is a compact, connected, orientable surface  $S \subset S^3$  such that  $\delta S = K$ .

## Definition

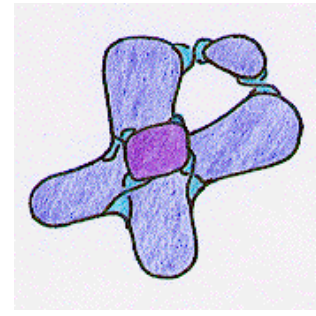
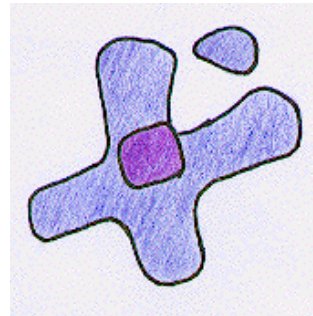
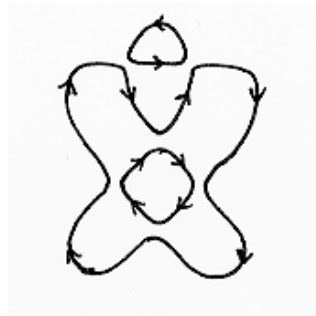
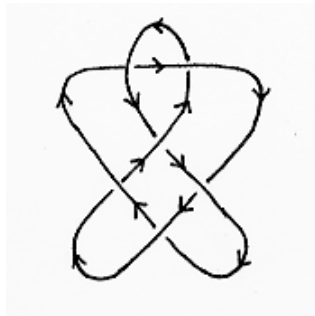
The **genus** of a knot  $K$ , denoted  $g(K)$  is the minimal genus of all Seifert surfaces with boundary  $K$ .

## Definitions, cont.

### Definition

A Seifert surface is **canonical** if it is obtained from a diagram of  $K$  by applying Seifert's algorithm.

### Example



Example from <http://www.sgwater.org/math/knots/>, referenced 12/27

## Definitions, cont.

### Definition

The **canonical genus** for  $K$ ,  $g_C(K)$  is the minimal genus among all canonical Seifert surfaces of  $K$ .

### Fact

*Both genus and canonical genus are hard to calculate.*

## A Question

How are genus and canonical genus related?

### Fact

$$g(K) \leq g_C(K)$$

### Theorem (Gabai, 1986, and others)

*Seifert's algorithm gives a minimal genus Seifert surface when the algorithm is applied to an alternating projection of an alternating knot  $K$ .*

### Corollary

*For alternating knots,  $g_C(K) = g(K)$ .*

## Ways to Compute Genus

- (Gabai, 1984) With a minimal genus surface candidate, sutured manifold theory can verify its minimality.
- (Morton, 1986) The  $z$ -degree of the HOMFLY polynomial,  $P_K(v, z)$  of a knot  $K$  is at most twice the canonical genus, i.e.,  $\max \deg_z P_K(v, z) \leq 2g_C(K)$ .

A canonical surface whose genus is half of the  $z$ -degree must therefore have genus equal to canonical genus. This condition cannot always be met, giving examples where the inequality is strict.

Both methods succeed for alternating knots. The second method has computed genera for knots up through 12 crossings (Stoimenow, 2002).

## Known Results

- H. R. Morton (1986) – A twisted Whitehead double of the trefoil knot has the canonical genus at least 3, while its genus is 1
- A. Kawauchi (1994) – There exists a knot  $K$  so that  $g_C(K) - g(K) = 2n$  for any  $n \in \mathbb{N}$ .
- J. J. Tripp (2002) – The canonical genus of a twisted Whitehead double of a  $(2, n)$ –torus knot is  $n$ , i.e. it is equal to the crossing number of the original knot.

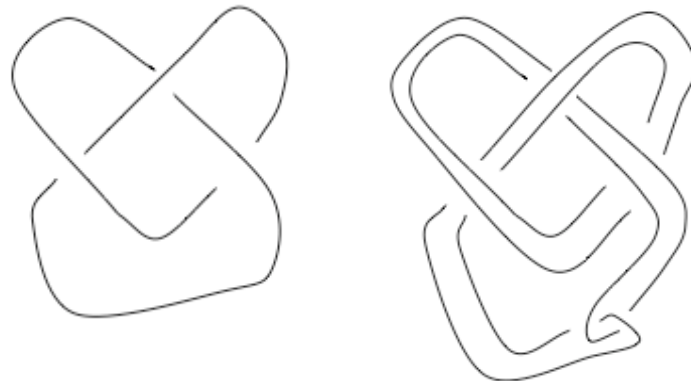
## More Definitions

### Definition (Whitehead double)

Let  $J$  be the Whitehead clasp in an unknotted solid torus,  $S^1 \times B^2$ . Let  $h : S^1 \times B^2 \rightarrow S^3$  be an embedding taking  $S^1 \times \{0\}$  to a knot  $K$ . The knot  $h(J)$  is the Whitehead double of  $K$  with  $n$  twists, where  $n$  is the linking number of the longitude of the torus with  $K$ .

### Fact

*If  $n = w(P)$  for the projection  $P$  of  $K$ , there is a standard projection of the Whitehead double which appears untwisted. This is the Whitehead double that we study.*



# The Conjecture

## Conjecture (Tripp, 2002)

The crossing number of a knot is equal to the canonical genus of its Whitehead double.

## Known Results

- Tripp (2002) – true for  $(2, n)$ –torus knots
- Nakamura (2004) – true for 2–bridge knots

## Morton's Inequality

### Theorem (Morton, 1986)

*The  $z$ -degree of the HOMFLY polynomial  $P_K(v, z)$  of a knot  $K$  is at most twice the canonical genus, i.e.*

$$\max \deg_z P_K(v, z) \leq 2g_C(K)$$

Tripp and Nakamura show that the  $z$ -degree of the HOMFLY polynomial of the double is  $2n = 2c(K)$  for the appropriate knot.

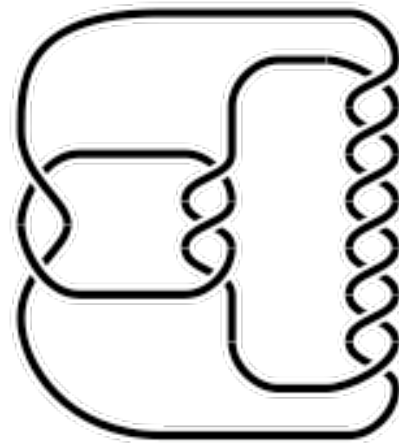
## Definition

### Definition

$P(k_1, k_2, \dots, k_n)$  is a pretzel link if it is the sum of tangles  $k_1, k_2, \dots, k_n$  with  $k_i \geq 1$ . It is a knot iff either  $n$  is odd or  $n$  is even and exactly one  $k_i$  is even.

### Example

The  $(-2, 3, 7)$  pretzel knot.



## Our Results

### Theorem (B-J, 2006)

*If  $K$  is a pretzel knot  $P(k_1, \dots, k_n)$  with  $k_1, \dots, k_n \geq 1$  then  $g_C(W(K)) = k_1 + \dots + k_n = c(K)$ .*

Proof is similar to the techniques of Tripp and Nakamura.

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