Salary Supplement Reporting - Part I

In accordance with Texas Government Code, Sections 659.0201(d) and 659.0201(i), each state agency and each higher education institution shall report certain information to the State Auditor. This questionnaire is designed to collect that information, which will be reported to the Legislature. Any questions concerning the interpretation of this section of the Texas Government Code should be directed to your agency’s or higher education institution’s legal counsel.

Please complete this section of the questionnaire (Part I) and return it to the State Auditor’s Office. Questionnaires should be e-mailed directly to Salary.Supplements@SAO.Texas.Gov, no later than 12/16/2016. Please note that reporting requirements that are specified in Article IX, Section 3.02, General Appropriations Act (Legislature), should be reported separately. To request information as required by Texas Government Code, Section 659.0201(d), please complete Part II of this questionnaire.

Contact Information

Agency/Higher Education Institution: State Northern State University
Name: Edgar Smith
Title: Director of Budget
Phone: (301) 299-2765
Email Address: edgar.smith@northernstate.edu

Questions

1. In fiscal year 2016, did your agency or higher education institution receive a gift, grant, donation, or other consideration from a person that was designated to be used as a salary supplement for a named person, position, or endowment?

   Yes, __________ No, __________

   Please insert hyperlink here.

2. If the answer to question 1 was “yes,” please complete the information in the table below for each individual salary supplement.

<table>
<thead>
<tr>
<th>Individual</th>
<th>Full Name</th>
<th>Position</th>
<th>Foundation</th>
<th>Position</th>
<th>Foundation</th>
</tr>
</thead>
<tbody>
<tr>
<td>__________</td>
<td>__________</td>
<td>__________</td>
<td>__________</td>
<td>__________</td>
<td>__________</td>
</tr>
</tbody>
</table>

3. How does your agency or higher education institution use gifts, grants, donations, and other considerations it receives? (Please specify whether these fees are used to provide salary supplements for your agency’s or higher education institution’s employees.)

   Gifts, grants, donations, and other considerations are used to support a fellow, senior, or junior faculty member, support a fellowship program, support a professor in the emerging field of engineering technology as defined in the donor agreement.

4. If your agency or higher education institution has adopted conflict of interest provisions regarding the acceptance of gifts, grants, donations, or other considerations, please provide a hyperlink to the conflict of interest provisions.

   GIFTs, GRANTS, DONATIONS & OTHER CONSIDERATIONS ARE USED IN ACCORDANCE WITH THE DONOR(S) AGREEMENTS AS WELL AS ANY APPLICABLE STATE AND FEDERAL LAWS. THE FUNDS NOTED ABOVE WILL BE USED TO SUPPORT A FELLOW IN THE EMERGING FIELD OF ENGINEERING TECHNOLOGY AS DEFINED IN THE DONOR AGREEMENT.

5. Texas Government Code, Section 659.0201(d) requires that a state agency that accepts a gift, grant, donation, or other consideration from a person that is designated to be used as a salary supplement for a named person, position, or endowment, or other consideration provided by the person that is designated to be used as a salary supplement for an employee of the agency or higher education institution, provide a hyperlink to the conflict of interest provisions.

   GIFTS, GRANTS, DONATIONS & OTHER CONSIDERATIONS ARE USED IN ACCORDANCE WITH THE DONOR(S) AGREEMENTS AS WELL AS ANY APPLICABLE STATE AND FEDERAL LAWS. THE FUNDS NOTED ABOVE WILL BE USED TO SUPPORT A FELLOW IN THE EMERGING FIELD OF ENGINEERING TECHNOLOGY AS DEFINED IN THE DONOR AGREEMENT.

6. Texas Government Code, Section 659.0201(i) requires that a state agency that accepts a gift, grant, donation, or other consideration from a person that is designated to be used as a salary supplement for an employee of the agency shall post on the agency’s Internet website the amount of each gift, grant, donation, or other consideration provided by the person that is designated to be used as a salary supplement for an employee of the agency.

   The agency may not post the name of the person.

7. Did the agency or higher education institution make this information available on its Internet website?

   Yes, __________ No, __________

   Please insert hyperlink here.

Detailed Information for Each Salary Supplement

<table>
<thead>
<tr>
<th>Individual</th>
<th>Full Name</th>
<th>Position</th>
<th>Foundation</th>
<th>Position</th>
<th>Foundation</th>
</tr>
</thead>
<tbody>
<tr>
<td>__________</td>
<td>__________</td>
<td>__________</td>
<td>__________</td>
<td>__________</td>
<td>__________</td>
</tr>
</tbody>
</table>

8. Texas Government Code, Section 659.0201(d) requires that a state agency shall make available in the public for posting on the agency’s Internet website:

   (a) the number of full-time equivalent employees employed by the agency;
   (b) the amount of legislative appropriations to the agency for each fiscal year of the current state fiscal biennium;
   (c) the agency’s methodology, including any employment market analysis, for determining the compensation of executive staff employed by the agency, along with the name and position of the person who selected the methodology;
   (d) the average compensation paid to employees employed by the agency who are executive staff; and
   (e) the percentage increase in compensation of executive staff for each fiscal year of the five preceding fiscal years and the percentage increase in legislative appropriations to the agency each fiscal year of the five preceding fiscal years.

   Did the agency or higher education institution make this information available on its Internet website?

   Yes, __________ No, __________

   Please insert hyperlink here.

   GIFTS, GRANTS, DONATIONS & OTHER CONSIDERATIONS ARE USED IN ACCORDANCE WITH THE DONOR(S) AGREEMENTS AS WELL AS ANY APPLICABLE STATE AND FEDERAL LAWS. THE FUNDS NOTED ABOVE WILL BE USED TO SUPPORT A FELLOW IN THE EMERGING FIELD OF ENGINEERING TECHNOLOGY AS DEFINED IN THE DONOR AGREEMENT.

   Please insert additional comments in the box below.

   Please place additional comments here.

   GIFTS, GRANTS, DONATIONS & OTHER CONSIDERATIONS ARE USED IN ACCORDANCE WITH THE DONOR(S) AGREEMENTS AS WELL AS ANY APPLICABLE STATE AND FEDERAL LAWS. THE FUNDS NOTED ABOVE WILL BE USED TO SUPPORT A FELLOW IN THE EMERGING FIELD OF ENGINEERING TECHNOLOGY AS DEFINED IN THE DONOR AGREEMENT.

   Please insert additional comments here. 
Salary Supplement Reporting - Part II

In accordance with Texas Government Code, Section 659.0201(d), if the person making a gift, grant, or donation or providing other consideration to the state agency for the purpose of a salary supplement is an entity created solely to provide support for the state agency, the entity shall report to the agency:

1. The name of each person who makes gifts, grants, or donations, or provides other consideration to the entity, in an amount or having a value that exceeds $10,000, unless the person has made a request to the entity to remain anonymous; and
2. The amount or value of each specific gift, grant, donation, or other consideration.

Texas Government Code, Section 659.0201(e) states a state agency that receives a gift, grant, donation, or other consideration described by Subsection (d) shall compile the information the agency receives under Subsection (d) into a report and submit the report to the state auditor and the legislature. This questionnaire is designed to collect that information, which may be reviewed by the State Auditor's Office to identify any conflicts of interest or any other areas of risk. The State Auditor's Office will report audit results to the Legislature. Any questions concerning the interpretation of this section of the Texas Government Code should be directed to your agency's or higher education institution's legal counsel.

Please complete this section (Part II) of the questionnaire and return it to the State Auditor's Office. Questionnaires should be e-mailed directly to Salary.Supplements@SAO.Texas.Gov, no later than 12/16/2016. Please note that reporting requirements that are specified in Article IX, Section 3.02, General Appropriations Act (84th Legislature), should be reported separately. To report information as required by Texas Government Code, Section 659.0201(i), please complete Part I of this questionnaire.

Please list the name of each person who makes gifts, grants, or donations, or provides other considerations to the entity, in an amount or having a value that exceeds $10,000, unless the person has made a request to remain anonymous. Information provided to an higher education institution under Texas Government Code, Subsection (d), is not subject to disclosure under Chapter 552.

If the amount or value of each specific gift, grant, donation, or other consideration exceeded $10,000, what was the amount? Information provided to an higher education institution under Texas Government Code, Subsection (d), is not subject to disclosure under Chapter 552.

2.3 million

Contact Information

Agency/Higher Education Institution: Sam Houston State University
Name: Edgar Smith
Title: Director of Budget
Phone Number: (936) 294-2976
Email Address: acc_ebs@shsu.edu

Questionnaire

1. In fiscal year 2016, did your agency or higher education institution receive a gift, grant, donation, or other consideration for the purpose of a salary supplement from an entity created solely to provide support for your agency?

Yes

2. If the answer to question 1 was "yes," please complete the information in the table below for each individual salary supplement. (Please insert additional rows if necessary.) If the answer to question 1 was "no," please continue to the Additional Comments Section.

Detailed Information for Each Salary Supplement

Please list the name of each person who makes gifts, grants, or donations, or provides other considerations to the entity, in an amount or having a value that exceeds $10,000, unless the person has made a request to remain anonymous. (If the person requested to remain anonymous, please place the word "Anonymous" in the column.) Information provided to an higher education institution under Texas Government Code, Subsection (d), is not subject to disclosure under Chapter 552.

Quanta Services Inc 2.3 million

3. Please include any additional comments in the box below.

Please list the name of the entity created solely to provide support for your agency or higher education institution.

Please list the amount or value of each specific gift, grant, donation, or other consideration.